# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Ty	pe Response	S)		1						1				
1. Name and Address of Reporting Person* Finnerty Kevin J				2. Issuer Name and Ticker or Trading Symbol NEWCASTLE INVESTMENT CORP [NCT]				CT]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner					
(Last) (First) (Middle) 1345 AVENUE OF THE AMERICAS, 45 FL			3. Date of Earliest Transaction (Month/Day/Year) 05/19/2016					Office	r (give title belo	w)	Other (specify b	elow)		
(Street) NEW YORK, NY 10105				4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)  X_Form filed by One Reporting Person  Form filed by More than One Reporting Person						
(City) (State) (Zip)			Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Ov					Owned						
(Instr. 3)		2. Transaction Date (Month/Day/Year)	*	if Code (Instr. 8)	Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D (Instr. 3, 4 and 5)		D) Beneficially Owned Following Reported Transaction(s)		6. Ownership Form:	Beneficial		
			(Month/Day/Yea	Code	V	Amou	(A) or (D)	Price	(Instr. 3 a	3 and 4)		` /	Ownership (Instr. 4)	
Common \$0.01 per	Stock, pa	r value	05/19/2016		A		11,54		\$ 0 (1)	109,835			D	
				Derivative Secur		the f	tained form di	in this for splays a of, or Ben	m are curre eficial	e not requ ntly valid		ormation spond unle rol numbe	ss	1474 (9-02)
	_	I		(e.g., puts, calls, v										1
Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Da Year) any	te, if Transaction Code Year) (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	and (Mo	Date Exercisable d Expiration Date lonth/Day/Year)		Am Und Sec	itle and ount of derlying urities tr. 3 and	Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)	Ownershi Form of Derivativ Security: Direct (D or Indirect	(Instr. 4)
				Code V	(A) (D)		e rcisable	Expiration Date	1 Title	Amount or Number of Shares				

# **Reporting Owners**

	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
Finnerty Kevin J 1345 AVENUE OF THE AMERICAS, 45 FL NEW YORK, NY 10105	X				

### **Signatures**

/s/ Kevin J. Finnerty	05/20/2016
**Signature of Reporting Person	Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were issued to director as compensation for services provided to the issuer in accordance with the issuer's Incentive Award Plan and the additional terms established by resolution of the Board of Directors. The applicable closing stock price was \$4.33 on May 19, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.